



2521

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/737,536	
	<b>Filing Date</b>	December 13, 2000	
	<b>First Named Inventor</b>	BARTELL et al.	
	<b>Group Art Unit</b>	Unknown	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	3	<b>Attorney Docket Number</b>	3309

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>PTO Form 1449 citing 7 references (enclosed)</b>
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wei Zhou; Reg. No. 44,419
Signature	
Date	April 6, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 6, 2001			
Typed or printed name	Jeanne Bower		
Signature		Date	April 6, 2001

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PATENT  
Attorney Docket No. 3309

#2  
4-17-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: BARTELL et al.

Examiner: Unknown

Serial No: 09/737,536

Group Art Unit: Unknown

Filing Date: December 13, 2000

Title: **SYSTEMS AND COMPUTER  
SOFTWARE PRODUCTS FOR  
COMPARING MICROARRAY SPOT  
INTENSITIES**

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(b)**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

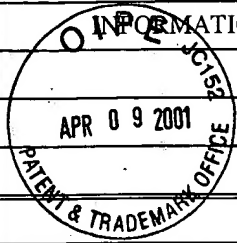
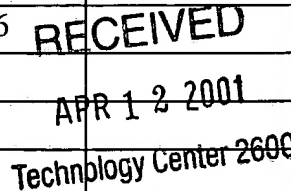
Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Dated: April 6, 2001

Respectfully submitted,

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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					3309		09/737,536	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)					Applicants:			
					BARTELL et al.			
					Filing Date		Group	
					December 13, 2000		Unknown	
								
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,800,992	09/01/98	Fodor et al.	435	6		
	AB	6,040,138	03/21/00	Lockhart et al.	435	6		
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
								
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	Hogg, "Probability and Statistical Inference", 5 <sup>th</sup> Ed., Ch. 10, Prentice-Hall, Inc., 1997, pps. 493-551.						
	AQ	Hollander, "Nonparametric Statistical Methods", 2 <sup>nd</sup> Ed., Ch. 3, John Wiley & Sons, Inc., 1999, pps. 35-105.						
	AQ	Lockhart et al., "Expression Monitoring by Hybridization to High Density Oligonucleotide Arrays, <u>Nature Biotechnology</u> , Vol. 14, 1996, pps. 1675-1680.						
	AQ	Lander, "Array of Hope", <u>Nature-Genetics</u> , 1999.						
	AR	Wilcoxin, "Individual Comparisons by Ranking Methods", <u>Biometrics</u> , Vol. 1, 1945, pps. 80-83.						
	AS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								